



PTO/SB/08A/B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO			Complete if Known		
			Application Number	09/914,110	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Filing Date	February 5, 2002	
			First Named Inventor	Afeyan	
			Art Unit	3693	
			Examiner Name	Weisberger, Richard C.	
Sheet	1	of	4	Attorney Docket Number	FLG-005

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
A	AA	5,193,056	03-09-1993	Boes	
	AB	5,794,207	08-11-1998	Walker et al.	
	AC	5,835,896	11-10-1998	Fisher et al.	
	AD	5,855,008	12-29-1998	Goldhaber et al.	
	AE	5,794,219	08-11-1998	Brown	
	AF	6,023,686	02-08-2000	Brown	
	AG	6,047,266	04-04-2000	Van Horn et al.	
	AH	6,014,643	01-11-2000	Minton	
	AI	5,999,914	12-07-1999	Blinn et al.	
	AJ	5,970,470	10-19-1999	Walker et al.	
	AK	5,950,176	09-07-1999	Keiser et al.	
	AL	5,897,622	04-27-1999	Blinn et al.	
	AM	5,758,327	05-26-1998	Gardner et al.	
	AN	3,581,072	05-25-1971	Nymeyer	
	AO	5,576,951	11-19-1996	Lockwood	
	AP	4,949,248	08-14-1990	Caro	
	AQ	5,890,137	03-30-1999	Koreeda	
	AR	5,913,210	06-15-1999	Call	
	AS	5,835,896	11-10-1998	Fisher et al.	
	AT	5,727,165	03-10-1998	Ordish et al.	
	AU	5,983,199	11-09-1999	Kaneko	
	AV	5,956,709	09-21-1999	Xue	
	AW	5,915,209	06-22-1999	Lawrence	
	AX	5,897,639	04-27-1999	Greef et al.	
	AY	5,895,454	04-20-1999	Harrington	
	AZ	5,890,138	03-30-1999	Godin et al.	
	AAA	5,845,266	12-01-1998	Lupien et al.	
	AAB	5,845,265	12-01-1998	Woolston	
	AAC	5,842,178	11-24-1998	Giovannoli	
	AAD	5,832,459	11-03-1998	Cameron et al.	
	AAE	5,826,244	10-20-1998	Huberman	
	AAF	5,818,914	10-06-1998	Fujisaki	
	AAG	5,809,144	09-15-1998	Sirbu et al.	
	AAH	5,806,047	09-08-1998	Hackel et al.	
	AAI	5,799,284	08-25-1998	Bourquin	
	AAJ	5,797,127	08-18-1998	Walker et al.	
	AAK	5,765,143	06-09-1998	Sheldon et al.	
	AAL	5,758,328	05-26-1998	Giovannoli	
	AAM	5,758,327	05-26-1998	Gardner et al.	
	AAN	5,745,882	04-28-1998	Bixler et al.	
	AAO	5,724,521	03-03-1998	Dedrick	
	AAP	5,712,985	01-27-1998	Lee et al.	
	AAQ	5,640,569	06-17-1997	Miller et al.	
	AAR	5,377,095	12-27-1994	Maeda et al.	
	AAS	4,789,928	12-06-1988	Fujisaki	
Examiner Signature				Date Considered	7/16



PTO/SB/08A/B (09-08)

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	2	of	4	Attorney Docket Number	FLG-005
-------	---	----	---	------------------------	---------

Complete if Known

Application Number	09/914,110
Filing Date	February 5, 2002
First Named Inventor	Afeyan
Art Unit	3693
Examiner Name	Weisberger, Richard C.

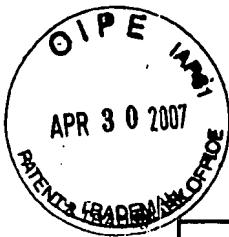
AAT	4,567,359	01-28-1986	Lockwood	
AAU	6,098,051	08-01-2000	Lupien et al.	
AAV	5,224,034	06-29-1993	Katz et al.	
AAW	5,873,071	02-16-1999	Ferstenberg et al.	
AAX	6,023,685	02-08-2000	Kenton et al.	
AAY	6,067,532	05-23-2000	Gebb	
AAZ	6,085,169	07-04-2000	Walker et al.	
AAAA	6,101,484	08-08-2000	Halbert et al.	
AAAB	5,148,365	09-15-1992	Dembo	
AAAC	5,255,184	10-19-1993	Hornick et al.	
AAAD	5,270,921	12-14-1993	Hornick	
AAAE	5,377,095	12-27-1994	Maeda et al.	
AAAF	5,729,700	03-17-1998	Melnikoff	
AAAG	5,745,882	04-28-1998	Bixler et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
[Handwritten marks]	BA	WO 00/11570	02-03-2000	Accompany Inc.		
	BB	WO 00/43929	07-27-2000	iCoop Inc.		
	BC	WO 00/43938	07-27-2000	Innovative Concepts Gp Inc.		
	BD	WO 00/46727	08-10-2000	Mercata Inc.		
	BE	WO 98/10361	03-12-1998	Walker Asset Ltd.		
	BF	WO 99/20013	04-22-1999	Walker Asset Ltd.		
	BG	WO 99/27476	06-03-1999	The Taylor Trust AS		
	BH	WO 99/63461	12-09-1999	Dinwoodie et al.		
	BI	WO 00/17797	03-30-2000	Freemarkets Inc.		
	BJ	WO 00/19691	04-06-2000	Wireless System Tech.		
	BK	WO 00/21013	04-13-2000	Lustig		
	BL	WO 00/33271	06-08-2000	Shkedy		
	BM	WO 00/36533	06-22-2000	Groves		
	BN	WO 00/38093	06-29-2000	Cantor Fitzgerald Securities		
	BO	WO 00/39729	07-06-2000	Carlton-Foss		
	BP	WO 00/39732	07-06-2000	Automated Trading Sys. Inc.		
	BQ	WO 00/41108	07-13-2000	Walker Digital LLC		
	[Handwritten marks]	BR	WO 00/43928	07-27-2000	iCoop Inc.	
BS		WO 00/45318	08-03-2000	Accompany Inc.		
BT		WO 00/46687	08-10-2000	DealTime.com, Ltd.		

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
-----------------------	--------------------



PTO/SB/08A/B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/914,110
				Filing Date	February 5, 2002
				First Named Inventor	Afeyan
				Art Unit	3693
				Examiner Name	Weisberger, Richard C.
Sheet	3	of	4	Attorney Docket Number	FLG-005

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
/	CA	L. Bransten et al., "New Company Aims to Shift IPO Playing Field" <u>The Wall Street Journal</u> , Feb. 8, 1999, page C1			
	CB	Financial Post Investing, "Hambrecht to Start Selling IPOs on the Internet: Dutch Auction Concept", <u>National Post</u> , February 9, 1999, page D01			
	CC	J. Cook, "Mercata, Inc. Unveils Plans for Internet Shopping Site" <u>Journal Business Reporter</u> , February 23, 1999 (as printed from the internet 5/19/99, http://eastsidejournal.comejbiz_archive/nad26995.html)			
	CD	Selected web pages from Mercata, including Premium Brands and About Us, as printed from the internet 5/19/99, http://www.mercata.com			
	CE	Selected web pages from Accompany, including Press Room, Welcome, How It Works, FAQs and Press Releases, as printed from the internet 5/17-19/99, http://www.accompany.com			
	CF	B. Sullivan, "Allen's E-Commerce Vision: Bulk Buying for the Little Guy", May 14, 1999, selected web pages from MSBC			
	CG	Davis, "The Underwriting Underdog," May 1999, p. 100-111, Business 2.0			
	CH	Unknown, "The Level Playing Field," unavailable.			
	CI	Ellis, John, "Greenspan's Fear? Runaway Bills," June 26, 1999, www.boston.com ; The Boston Globe			
	CJ	Machan, Dyan, "An Edison," May 17, 1999; p. 178-185, Forbes			
	CK	Grebb, Michael, "Riding The Buy Cycle," July, 1999; p. 122-130, Business 2.0			
	CL	Matassa, Mark, "Paul Allen's Wired Northwest," www.IntellectualCapital.com ; March 4, 1999			
	CM	Wilcox, Kathleen, "E-Commerce Forces Technology To Engage Customers," www.wsai.org			
	CN	Unknown, "As The Wired World Evolves And Technologies Converge, We Approach Critical Mass," date unknown.			
	CO	Unknown, "Paul Allen's E-Commerce Play: Bring The Buying Club To The Net," BusinessWeek Online, May 13, 1999			
	CP	Woolley, "Price War!", <u>Forbes Magazine</u> , 1-5 (December 14, 1998).			
	CQ	Strang et al., "Scans" Section, <u>Wired</u> , 51, 54, 56, and 58 (September 1995).			
	CR	Rockoff et al., "Design of an Internet-based System for Remote Dutch Auctions", <u>Internet Research: Electronic Networking Applications and Policy</u> , 5(4): 10-16 (1995).			
	CS	Kroll et al., "World Weary Web", <u>Forbes Magazine</u> , 1-3, 1-3, and 1-4 (December 28, 1998).			
	CT	"Multi-Product Delivery System Optimization Procedure", <u>IBM Technical Disclosure Bulletin</u> , 38(4): 501-503 (April 1995).			
	CU	Abstract, <u>World Reporter, Dagens Industri</u> , "Expected Success for Internet Shopping", WL 5900897, (Mar. 12, 1999).			
	CV	Online Document from Ask Reggie Domain Search, Accompany.com Domain contacts, http://www.askreggie.com , printed May 8, 2000.			
	CW	Online Document from Klik-Klok Online Dutch Auction, http://www.klik-klok.com/how.htm , printed, May 8, 2000.			
/	CX	Online Document from Franklin Regional Council of Governments, http://www.frcog.or/purch.html , printed May 8, 2000.			
/	CY	Online Document from Cooperative Educational Service Agency, http://www.cesa5.k12.wi.us/buying.html , May 8, 2000.			
	CZ	Online Document from Who's Who for The Commerce Standard,			
Examiner Signature				Date Considered	7/1



PTO/SB/08A/B (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/914,110		
		Filing Date	February 5, 2002		
		First Named Inventor	Afeyan		
		Art Unit	3693		
		Examiner Name	Weisberger, Richard C.		
Sheet	4	of	4	Attorney Docket Number	FLG-005

		http://www.gii.com/standard/who/index.html , printed May 8, 2000.	
CAA		Online Document From Bullnet Online Auctions, http://www.bullnet.co.uk/auctions/info.htm , printed May 8, 2000.	
CAB		Online Document, "Management and Specialist Team, LetsBuyIt.com, Sweden", http://www.alumni.se/e-commerce/default.htm , printed May 10, 2000.	
CAC		Online Document from Agorics, Inc., http://www.agorics.com/ , Sections: "A Survey of Auctions," "English Auction," "A Dutch Auction," "The First Price Auction," "The Vickrey Auction," "The Double Auction," "Auction Offshoots," "Auction Strategies," "Auction Histories," "Government Securities-Auctioned Off," "Collusion in Auctions," "Auction Bibliography," Printed May 10, 2000.	
CAD		"New Twist To Online Shopping Service Hopes to Break Customer Apathy", <u>Phillips Business Information Internet Week</u> , 1-2, (May 22, 1995).	
CAE		Ernigh, "In year 2020, Auctions & Agents To Rule Web", <u>Newsbytes News Network</u> , 1-2, (March 23, 1998).	
CAF		Abstract, "New Tricks in Pet Supplies"	
CAG		U.S. Provisional Patent Application No. 60/097,932, filed August 25, 1998.	
CAH		U.S. Provisional Patent Application No. 60/097,933, filed August 25, 1998.	
CAI		Hibbard, "Buyer's Market: Companies like CarPoint, Deja.com, and Accompany are bringing power to the people," <u>Red Herring</u> , 146, 148, 150, 152, and 154 (November 1999).	
CAJ		Wit Capital's Digital IPOs, <u>Traders Magazine</u> , V11, n 139, p20+ (June 1998)	
CAK		Online Document: Bringing auction-based IPOs to the Web, http://news.cnet.com/news/0-1007-202-338414.html , printed 01/06/00.	
CAL		Online Document: W.R. Hambrecht To Start Selling IPOs Via Internet, http://www.cba.uiuc.edu/~pellinge/current/2-11-11c.htm , printed 01/06/00.	
CAM		Online Document from Welcome to MacUser, http://www.macuser.co.uk/moredhel/news/32803.html , printed 01/06/00.	
CAN		Online Document from Medpool.com, http://www.medpool.com/in_the_news_invivo.html , printed 01/25/00.	
CAO		Online Document from Fool.com, http://www.scribe.fool.com/Specials/1999/sp990316AboutIPOs02.htm , printed 01/06/00.	
CAP		Selected web pages from Blockbuy, including "You don't have to be a Blockhead," and "Press Release - Blockbuy.com Announces \$1 Million in Private Placement Funding," http://www.blockbuy.com , printed 01/31/00.	
CAQ		Selected web pages from OnlineChoice.com, including "Welcome to OnlineChoice.com," "Online Choices," and "Terms of Use Agreement and About Us," http://www.onlinechoice.com , printed 01/31/00.	
CAR		Selected web pages from ElectricityChoice.com, http://www.electricitychoice.com , printed 01/31/00.	
CAS		Bailey et al. (Spring 1997) "An Exploratory Study of the Emerging Role of Electronic Intermediaries" <u>Intl. J. Electronic Commerce</u> 1(3): 7-20.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--